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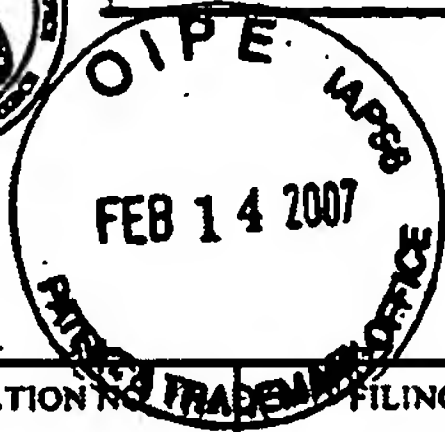
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/708,197	02/16/2004	Arkady Berenstein		2196
34069	7590	07/23/2007		
LEON CHERNYAK			EXAMINER	
112 ACADEMY HILL RD. #1			GELAGAY, SHEWAYE	
BRIGHTON, MA 02135				
			ART UNIT	PAPER NUMBER
			2137	
			MAIL DATE	DELIVERY MODE
			.07/23/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.



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10/708,197	02/16/2004	Arkady Berenstein		2196

34069 7590 02/06/2007  
LEON CHERNYAK  
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EXAMINER
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GELAGAY, SHEWAYE

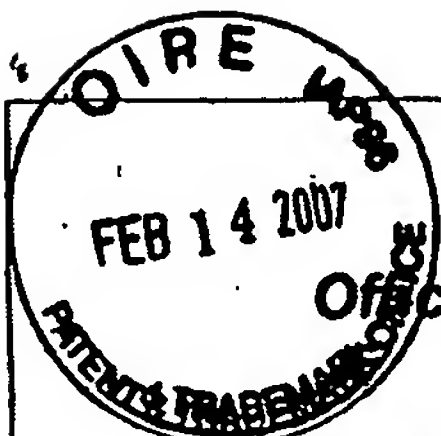
ART UNIT	PAPER NUMBER
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2137

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
3 MONTHS	02/06/2007	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.



## Office Action Summary

**Application No.**

10/708,197

**Applicant(s)**

BERENSTEIN ET AL.

**Examiner**

Shewaye Gelagay

**Art Unit**

2137

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 16 February 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-3 and 10-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☐ Claim(s) 1-3 and 10-22 is/are rejected.
- 7) ☐ Claim(s) 4-9, 12, 13 and 23-41 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>2/16/04</u> . | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Oath/Declaration***

1. The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

The oath or declaration is defective because: It is not signed by the inventors.

### ***Claim Objections***

2. Claims 4-9, 12-13, 23-41 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim should refer to other claims in the alternative only. See MPEP § 608.01(n). Accordingly, the claims have not been further treated on the merits.

### ***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 16, 20 and 22 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed,

Art Unit: 2137

had possession of the claimed invention. Claims 16, 20 and 22 recite "Hermitian vector space", however, the "Hermitian vector space" is not disclosed in the specification. The specification as filed does not mention the use of "Hermitian vector space".

5. Claim 18 depend from claim 16, hence inherit the deficiencies of claim 16.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims 1-3, 10-11, 14-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Anshel et al. (hereinafter Anshel) US Patent Number 6,493,449 in view of Hoffstein et al. (hereinafter Hoffstein) US Patent Number 6,298,137.

As per claim 1:

Anshel teaches a method of secure distribution of encryption/decryption keys among two communicating parties by providing secure algebraic key establishment protocols. Users A and B wish to exchange keys via public discussion over insecure channel, user A randomly chooses elements  $S_1, \dots, S_n$  element of  $G$  and transmits them to user B via the communication module. Similarly user B randomly chooses elements  $t_1, \dots, t_m$  and transmits them to user A via the communication module, wherein  $s_1, \dots, s_n$  and  $t_1, \dots, t_n$  are publicly known. And generate a word  $a$  which is a secret word in the generators  $s_1, \dots, s_n$ , and generate a word  $b$  which is a secret word in the

generators  $t_1, \dots, t_n$ . The secret word  $a$  together with the generators  $t_1, \dots, t_n$  and the secret word  $b$  with generators  $s_1, \dots, s_n$  are presented to compute conjugate elements.  
(col. 9-col.13)

Anshel does not expressly disclose computing the  $n$ -tuple  $g^A$  by the first communicating party and transmitting it to the second communicating party and generating  $g^B$  by the second communicating party and transmitting it to the first communicating party. However, Hoffstein teaches computing the  $n$ -tuple  $g^A$  by the first communicating party and transmitting it to the second communicating party and generating  $g^B$  by the second communicating party and transmitting it to the first communicating party. (Abstract; col. 11, line 63-col. 13, line 30) Therefore it would have been obvious to one ordinary skill in the art to modify the method disclosed by Anshel with Hoffstein in order to have a system that combines relatively short, easily created keys, with relatively high speed encoding and decoding process. (col. 2, lines 30-33; Hoffstein)

As per claims 2 and 10:

The combination of Anshel and Hoffstein teaches all the subject matter as discussed above. In addition, Anshel further discloses a method wherein  $G$  is an arbitrary compact topological monoid and the polynomials  $p(x_{\text{sub.1}}, x_{\text{sub.2}}, \dots, x_{\text{sub.k}})$  and  $q(x_{\text{sub.1}}, x_{\text{sub.2}}, \dots, x_{\text{sub.k}})$  have non-negative integer coefficients, and all the matrices  $S_{\text{sub.1}}, S_{\text{sub.2}}, \dots, S_{\text{sub.k}}$  have non-negative integer matrix coefficients. (col. 9-col.13)

As per claims 3 and 11:

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The combination of Anshel and Hoffstein teaches all the subject matter as discussed above. In addition, Anshel further discloses a method wherein  $G$  is an arbitrary compact topological group and the polynomials  $p(x_{\text{sub.1}}, x_{\text{sub.2}}, \dots, x_{\text{sub.k}})$  and  $q(x_{\text{sub.1}}, x_{\text{sub.2}}, \dots, x_{\text{sub.k}})$  have arbitrary integer coefficients, and all the matrices  $S_{\text{sub.1}}, S_{\text{sub.2}}, \dots, S_{\text{sub.k}}$  have arbitrary integer matrix coefficients. (col. 9-col.13)

As per claims 14-22:

The combination of Anshel and Hoffstein teaches all the subject matter as discussed above. In addition, Hoffstein further discloses a method wherein  $n=1$  and said  $G$  is a connected closed subgroup of the orthogonal group  $O(V)$ , where  $V$  is a Euclidean vector space. (col. 6, lines 63-67)

### **Conclusion**

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See Form PTO-892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shewaye Gelagay whose telephone number is 571-272-4219. The examiner can normally be reached on 8:00 am to 5:30 pm.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on 571-272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Shewaye Gelagay

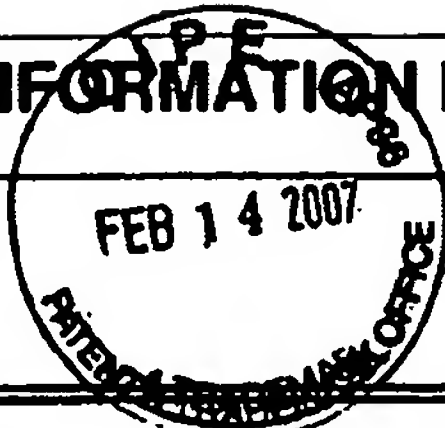


  
EMMANUEL L. MOISE  
SUPERVISORY PATENT EXAMINER

DS - 02/16/2004 **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0



**Title of  
Invention**

Method and apparatus for geometric key establishment protocols  
based on topological groups

Application Number : 10 / 708 197

Confirmation Number:

First Named Applicant: Arkady Berenstein

Attorney Docket Number:

Art Unit:

Examiner:

Search string: ( 5696826 or 6493449 ).pn

**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

**US Patent Documents**

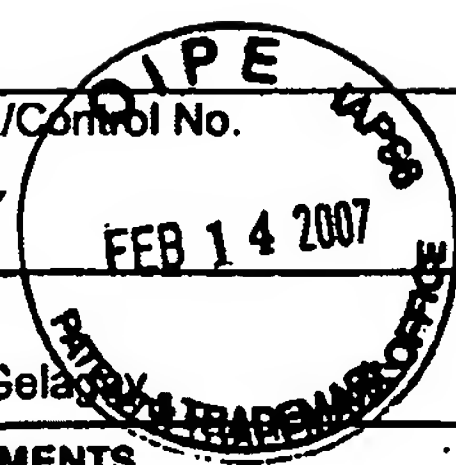
**Note:** Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
sg	1	5696826	1997-12-15	Gao			
sg	2	6493449	2002-12-15	Anshel et al.			

**Signature**

Examiner Name	Date
/Shewaye Gelagay/	02/03/2007

<b>Notice of References Cited</b>	Application/Control No. 10/708,197	Applicant(s)/Patent Under Reexamination BERENSTEIN ET AL.	
	Examiner Shewaye Gelagay	Art Unit 2137	Page 1 of 1



**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-6,493,449	12-2002	Anshel et al.	380/30
*	B	US-6,298,137	10-2001	Hoffstein et al.	380/30
*	C	US-6,081,597	06-2000	Hoffstein et al.	380/28
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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